

10/29/99

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	AUS990339US8
	First Inventor or Application Identifier	Peter C. Bahrs, et al.
	Title	See 1 in Addendum
	Express Mail Label No.	EL3510573135US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 239] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 136]	ACCOMPANYING APPLICATION PARTS	
4. Oath or Declaration [Total Pages 9] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">i. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).		
8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney		
9. <input type="checkbox"/> English Translation Document (if applicable)		
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
11. <input checked="" type="checkbox"/> Preliminary Amendment		
12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) <ul style="list-style-type: none">* Small Entity <input type="checkbox"/> Statement filed in prior application Status still proper and desired (PTO/SB/09-12)		
13. <input type="checkbox"/> Statement(s) <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		
14. <input type="checkbox"/> Other:		
15. <input type="checkbox"/> Other:		

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/366,404**
Prior application information: Examiner **Unknown** Group / Art Unit: **2731**
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below					
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Signature	<i>Duke W. Yee</i>	Date	10/29/99

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. AUS990339US8

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

Peter C. Bahrs and Manish Mahesh Modh

For: **Method and Apparatus in a Data Processing System For The Separation Of Role-Based Permissions Specification From Its Corresponding Implementation Of Its Semantic Behavior**

Enclosed are also:

X 153 Pages of Specification including an Abstract
X 86 Pages of Claims
X 136 Sheet(s) of Drawings
X A Declaration and Power of Attorney
X Form PTO 1595 and assignment of the invention to IBM Corporation
X Preliminary Amendment
X Statement Deleting Inventors

CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$760)
Total Claims	40	-20 =	20	X	\$ 18	=	\$360.00
Independent Claims	8	-3 =	5	X	\$ 78	=	\$390.00
Multiple-Dependent Claims	0			X	\$260	=	\$
Total Filing Fee							= \$1510.00

X Please charge \$1510.00 to IBM Corporation, Deposit Account No. 09-0447.
X The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
X Any additional filing fees required under 37CFR § 1.16.
X Any patent application processing fees under 37CFR § 1.17.

Respectfully,



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